

Wednesday, 18 July 2018

Keynote Address

MAS

Serious And Complex Fraud And Corruption - Whose Problem Is It?

- What are the conditions that allow criminal threats to mature and become systemic?
- When ethical business practice and compliance are lacking, is criminal prosecution the solution?
- Why should corporations self-report criminal conduct?
- How can police and prosecutors assess the quality of a corporation's assistance with an investigation?

Commander Peter Crozier, Manager Criminal Assets, Fraud & Anti-Corruption, Australian Federal Police

Tea Break

Leveraging Big Data and Data Analytics to Manage Financial Crime Risks

- How can companies exploit Big Data to improve regulatory compliance, monitoring and reporting?
- Harnessing the power of data analytics to detect fraud and to stop illicit financial flows
- Balancing data protection with AML/CFT requirements: what's the right approach?
- The importance of public-private information sharing partnerships to combat financial crime

The session will cover how banks can leverage on Big Data and data analytics can be used to manage and detect financial crime risks. In addition, the right approach in balancing data protection with AML/CFT requirements will also be discussed

Paul Jevtovic, Head Financial Crime Threat Mitigation, Asia Pacific, HSBC, Hong Kong

Aligning Financial Crimes Compliance Risk with Business Management

This session looks at issues surrounding the use of risk assessment to prioritise compliance work programme and how to best engage with business management.

Kevin Ludwick, Chief Compliance Officer for Asia Oceania and East Asia; General Manager of Asian Compliance Office, MUFG Singapore

Lunch

US Sanctions Issues for Consideration by Blockchain Developers and Users

The session focuses on OFAC issues arising from the use of blockchain technologies for trade finance transactions. In particular, the presentation will provide an overview of the regulatory framework of OFAC sanctions and how these sanctions could apply to blockchain transactions. It will also cover building OFAC compliance functionalities within blockchain technologies.

Ali Burney, Counsel, Clifford Chance, Singapore

Tea Break

In Conversation: Harnessing Data Analytics in Combating Financial Crime in Banks

Lam Chee Kin, Head, Group Legal and Compliance, DBS

Closing Remarks

The organiser reserves the right to change the programme / speakers.



Ali Burney

Counsel, Clifford Chance, Singapore

Mr Ali Burney, a counsel at Clifford Chance's Singapore office, focuses on international economic sanctions, export controls, anti-money laundering (AML), and anti-bribery & corruption compliance matters. Prior to joining the Singapore office, Ali was at Clifford Chance's Washington, DC office where he represented clients in front of US sanctions and export control enforcement agencies.

Ali has more than 10 years of experience advising on complex cross-border transactions, conducting multi-jurisdictional investigations (many of which involved trade finance issues), and providing bespoke training relating to US economic sanctions, US export controls, and the Foreign Corrupt Practices Act (FCPA). Ali frequently advises the firm's clients in relation to issues concerning the intersection of US sanctions laws and developing financial technologies (FinTech).

Prior to joining the firm, he was at the US Department of Treasury, Office of Foreign Assets Control (OFAC).



Kevin Ludwick

Chief Compliance Officer for Asia Oceania and East Asia, General Manager of Asian Compliance Office, MUFG Bank, Ltd, Singapore

Mr Kevin Ludwick was appointed the Chief Compliance Officer for MUFG Asia Pacific Region and General Manager for Asian Compliance Office (ACO) in July 2016. He is now based in Singapore.

Kevin is responsible for leading and driving the development of the bank's compliance strategy and policies in both Asia & Oceania and East Asia regions. He is a member of both regional management committees with a mission to reinforce the bank's compliance culture and transform regional compliance frameworks in alignment with the bank's strategic direction and vision.

Prior to his current appointment, Kevin was General Manager and Chief Compliance Officer for the bank's regional headquarters for EMEA (Europe, Middle East and Africa), based in London. Prior to joining MUFG in May 2007, he worked in various financial organisations such as PaineWebber International, Banque Indosuez and with the Bank of America, where he was the Regional EMEA Compliance Officer. He also spent seven years with the UK Financial Services Authority (FSA), where he headed departments for Europe & Japan Supervision, Listing and Markets, and most recently its Regulatory Services Business Unit.

Kevin graduated from the University of Cambridge with both Masters and Bachelor of Arts (Law).



Lam Chee Kin

Managing Director & Head Group Legal, Compliance & Secretariat, DBS Bank Ltd

Mr Lam Chee Kin is a lawyer with more than 20 years' experience specialising in financial services transactions and regulations across multiple business types and geographies. Prior to joining DBS, he held various roles in Standard Chartered Bank, JPMorgan Chase, Rajah & Tann and Allen & Gledhill. Chee Kin currently serves on the Disciplinary Committee of SGX, the Advisory Panel to the NUS Centre for Banking and Finance Law, the Data Protection Advisory Committee of Singapore and the Standards Committee for the Institute of Banking and Finance. In 2015 he was recognised by the IBF as a Distinguished Fellow in the field of Compliance.



Paul Jevtovic

Head Financial Crime Threat Mitigation, Asia Pacific, HSBC, Hong Kong

Mr Paul Jevtovic joined HSBC as the Regional Head of FCTM, Asia Pacific in May 2017.

In November 2014, he was appointed Chief Executive Officer AUSTRAC – Australia’s financial intelligence agency and regulator of the financial industry. In AUSTRAC, Paul led a significant reform agenda, including cultural reform; driven the development of a new regulatory model; conceived and delivered the world’s first Regional Counter Terrorism Forum including the production of the world’s first regional risk assessment (ASEAN) into money laundering and terrorism financing.

He also represented Australia in a number of global forums as Australia’s Head of Delegation to FATF, Egmont, Asia-Pacific Group on Money laundering and the Middle East & North African FATF. Most importantly, Paul shifted the nature of the relationship between the regulator and industry to one underpinned by principles of partnership, collaboration and innovation.

Between November 2011 and November 2014, Paul held the roles of Executive Director Operations and Acting CEO of the ACC – Australia Crime Commission.

In January 2010, he was appointed to the role of Deputy Director, Office of Police Integrity (for the state of Victoria).

Paul commenced his career with the Australian Federal Police in 1982. In April 2005 he was promoted to Assistant Commissioner, National Manager, International Deployment Group.



Commander Peter Crozier

Manager Criminal Assets, Fraud & Anti-Corruption, Australian Federal Police

Commander Peter Crozier has been a member of the Australian Federal Police (AFP) since 1987 and has performed operational duties across the AFP’s community policing, national and international responsibilities, including attachments to the United Nations and the Metropolitan Police Service (MPS).

Peter has performed a range of operational roles over the course of his career including assisting Australia’s South East Asian and broader partners on long-term counter-terrorism investigations. He was also the initial Project Manager for the Jakarta Centre for Law Enforcement Cooperation in Indonesia.

In 2007 Peter was seconded to the MPS SO15 Counter Terrorism Command in the United Kingdom and between 2008 and 2011 he performed the role of the AFP Senior Liaison Officer to Singapore. Peter returned to Australia in 2011 in the role of the Coordinator of the Joint Counter Terrorism Team in Sydney.

Peter was promoted to the rank of Commander in 2013 and appointed to the role of the AFP’s Manager Counter Terrorism Operations. In this position Peter led the AFP’s domestic and international counter-terrorism investigations in collaboration with the AFP’s national and international law enforcement and security partners.

Peter commenced his current role as the AFP’s Manager Criminal Assets, Fraud and Anti-Corruption (MCAFAC) in 2015. In this role he leads the AFP’s commitment to a number of multi-agency initiatives including the Fraud & Anti-Corruption Centre, the Serious Financial Crimes Taskforce, the Criminal Assets Confiscation Taskforce, Trade Union Taskforce and the Black Economy Taskforce. Peter also leads the AFP’s teams undertaking the investigation of the downing of Malaysian Airlines flight MH-17 over Ukraine in July 2014.



OBJECTIVE

This is a non-profit event organised as part of the commitment of ABS and the industry to combat financial crime. It aims to raise awareness on the importance of Anti-Money Laundering, Countering the Financing of Terrorism and Anti-Fraud as well as the key roles played by the various stakeholders in the public and private sectors.



TARGET AUDIENCE

Senior management, financial controllers and heads/senior executives from audit, compliance, legal, operations, private banking, risk management and all who wants to know more about combating financial crime, in particular AML and CFT.



WHY YOU SHOULD ATTEND

Hear international and industry experts share their knowledge and experience in combating financial crime;

Participate in lively dialogue and discussions;

Learn from real life case studies;

Network with industry experts and peers.

ATTENDANCE FEE (PER PERSON)

| | Individual | Group (3 or more from the same institution) |
|--|------------|--|
| ABS Member | SGD 588.50 | SGD 535.00 |
| ABS Member's Overseas Branches ^{Note 2} | SGD 275.00 | N.A |
| Non ABS Member | SGD 642.00 | SGD 588.50 |

Notes:

1. The Attendance Fee includes 7% GST (GST Registration No. M4-0003007-3), tea breaks, lunch and seminar documentation.
2. The **discounted fee is applicable only to ABS members' overseas branches and billing addresses outside Singapore**. The fee should be net of all applicable taxes and remittance charges which are to be borne by the delegate(s).

3. **Financial Training Scheme (FTS) Eligible** - This programme is approved for listings on the FTS Programme Directory and is eligible for FTS claims subject to all eligibility criteria being met. However, in no way does this represent IBF's endorsement of the quality of the training provider and programme. Participants are advised to assess the suitability of the programme and its relevance to participants' business activities or job roles. The FTS is available to eligible entities, at a 50% funding level of programme fees subject to all eligibility criteria being met. FTS claims may only be made for programmes listed on the FTS Programme Directory with the specified validity period. Singapore Citizens aged 40 years old and above are eligible for 90% co-funding. Please refer to www.ibf.org.sg/ for more information.



REGISTRATION

How to Register:

Login to www.abs.org.sg/em/register.php and use the Password: **FCS2018**

Registration Deadline:

Wednesday, 27 June 2018

CANCELLATION POLICY

There will be no refunds for cancellations made after the registration deadline (27 June 2018). However, substitutions are permitted without additional costs and ABS must be informed in writing at least five working days before the Seminar.

Organised by



Supported by

