



2 - 3 July 2014

Raffles City Convention Centre
Singapore

Anti-Money Laundering/Fraud and Countering the Financing of Terrorism

DRAFT PROGRAMME

Day 1 – Wednesday, 2 July 2014 (full day)

OPENING AND WELCOME REMARKS

Samuel Tsien, Group CEO, OCBC Bank and Chairman, The Association of Banks in Singapore

KEYNOTE ADDRESS

Ong Chong Tee, Deputy Managing Director (Financial Supervision), Monetary Authority of Singapore

THE TERRORIST FINANCIAL INFRASTRUCTURE: RECENT AND LIKELY FUTURE DEVELOPMENTS

Prof Rohan Gunaratna, Head, International Centre for Political Violence and Terrorism Research, Rajaratnam School of International Studies, Nanyang Technological University, Singapore

THE NOTION OF BENEFICIAL OWNERSHIP

Tracing the flow of illicit money, including tax evasion and proceeds of crime can sometimes be difficult due to the lack of information concerning beneficial owners of companies and legal structures. How do you put into practice the new FATF Recommendations 10, 24 and 25?

Peter Green, AML Consultant/ex-Chief Money Laundering Reporting Officer, BNP Paribas Group

ENHANCED REGULATORY FRAMEWORK FOR CORPORATE SERVICE PROVIDERS (CSPs)

An overview of the enhanced regulatory framework that will govern CSPs including registration and renewal criteria, statutory obligations to give effect to FATF Recommendations (e.g. customer due diligence, establishment of internal policies, procedures and controls) and sanctions that may be faced by errant CSPs

Speaker from Accounting and Corporate Regulatory Authority (tbc)

Lunch

INTERPLAY BETWEEN SOME ASPECTS OF BANKING SECRECY, DISCLOSURE AND DATA PROTECTION LAWS

Murali Pillai, Partner, Commercial Litigation, Rajah & Tann LLP, Singapore

TERRORISM FINANCING: A PRACTICAL PERSPECTIVE FROM AUSTRALIA

Paul Mesaglio, Federal Agent, Terrorism Financing Investigation Unit, Australian Federal Police

PEPS AND THE WIDER ISSUE OF CORRUPTION

What are the latest developments in the area of PEPs? Banks and financial institutions need to continually be aware of the importance of risk assessment when dealing with PEPs.

With the increasing political emphasis and forceful international legislation to counter corruption, what should banks and financial institutions do?

Peter Green, AML Consultant/ex-Chief Money Laundering Reporting Officer, BNP Paribas Group

Day 2 – Thursday, 3 July 2014 (half day)

TRADE FINANCE ANTI-MONEY LAUNDERING

Understand the nature of trade-based money laundering and identify the risks, regulatory response to develop a risk based AML programme and best practices. Several case studies will also be presented for discussion.

Kristine Howse, Director, Asia Pacific Regional Head of Institutional Client Group AML, Citibank, Sydney

US EXPORT CONTROLS

How to exercise due diligence checks on dual-use goods

Donald Pearce, Regional Export Control Officer, US Embassy Singapore

SYNTHETIC LETTER OF CREDIT

Synthetic LCs are often used by criminals for terrorist financing. The speaker will share how the LCs are used for fund raising and the workflow as well as illustrate with case studies.

Dr Soh Chee Seng, Technical Adviser on Trade Finance, The Association of Banks in Singapore

Lunch and End of Seminar

The organiser reserves the right to change the programme/speakers

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ABOUT THE SPEAKERS

Professor Rohan Gunaratna

Head, International Centre for Political Violence & Terrorism Research (ICPVTR), S. Rajaratnam School of International Studies (RSIS), Nanyang Technological University, Singapore

Rohan Gunaratna is a specialist of the global threat environment, with expertise in threat groups in Asia, the Middle East and Africa. He is Head of ICPVTR, one of the largest specialist counter terrorism research and training centres in the world.

He is also Professor of Security Studies at RSIS. Appointed as a member of the World Economic Forum's Global Agenda Council on Terrorism in 2012, Gunaratna serves on the advisory board of International Centre for Counter-Terrorism in The Hague.

Gunaratna is a member of the International Advisory Board of the International Institute for Counter Terrorism in Israel as well as the Steering Committee of George Washington University's Homeland Security Policy Institute. He was a Senior Fellow at Fletcher School for Law and Diplomacy, and the United States Military Academy's Combating Terrorism Centre at West Point.

He holds a Masters in International Peace Studies from Notre Dame, US, and a Doctorate in International Relations from St. Andrews, Scotland. Invited to testify before the 9-11 Commission on the structure of Al Qaeda, Gunaratna led the specialist team that built the UN Database on Al Qaeda, Taliban and their Entities. He debriefed detainees in the U.S., Asia, Middle East including high value Al Qaeda detainees in Iraq. He chaired the inaugural International Conference on Terrorist Rehabilitation in February 2009.

Author and editor of 15 books including "Inside Al Qaeda: Global Network of Terror", Gunaratna is also the lead author of "Jane's Counter Terrorism", a handbook for counter terrorism practitioners and co-author of "Countering Terrorism: Can We Meet the Threat of Global Violence?"

A litigation consultant to the U.S. Department of Justice, Gunaratna was United States expert in the Jose Padilla trial.

Peter Green

AML Consultant/ex-Chief Money Laundering Reporting Officer, BNP Paribas Group

Peter spent 45 years in BNP Paribas (BNPP) holding varied positions in Head Office and various overseas branches. His last position was Chief Money Laundering Reporting Officer and Deputy Global Head of Financial Security. Peter's global responsibilities included the vetting of very sensitive new clients.

Prior to his retirement from BNPP, Peter co-ordinated the anti-money laundering organisation in BNPP Group (83 countries worldwide). As part of his job, he also co-ordinated the drafting of the Group's anti-money laundering procedures.

Peter had been BNPP's representative to the Wolfsberg Group as well as member of the ACAMS European Task Force. He is now actively involved in AML training.

Murali Pillai

Partner, Commercial Litigation, Rajah & Tann LLP, Singapore

Murali has been practicing since 1996. He has a broad-based commercial litigation and arbitration practice dealing with a wide spectrum of matters. Murali is repeatedly pitted against senior counsel.

He joined Rajah & Tann in 2004 as a partner from another big law firm. Prior to pursuing a career in law, Murali served as an Assistant Superintendent of Police in the Singapore Police Force primarily conducting investigations into white-collar crimes. With his team of top-performing associates, Murali's commitment is to provide his clients with timely, business oriented and cost effective solutions.

Murali's principal areas of practice encompass financial litigation, corporate and commercial litigation, employment, property litigation and commercial arbitration. He has argued several landmark civil and criminal cases in the Supreme Court of Singapore. He has also been appointed as arbitrator in both international and domestic disputes.

Kristine Howse

Director, Asia Pacific Regional Head of Institutional Client Group AML, Citibank, Sydney

Kristine has specialised in AML for over 17 years, based in Hong Kong, London and Sydney (now based in Sydney). Her current position covers 17 countries, for Trade and Treasury Services, Securities and Fund Services, Investment Banking and Capital Markets. Prior to that, Kristine was Regional Head of AML for Morgan Stanley for AP/Japan, and also held various Compliance/AML roles at Deutsche Bank.

Donald Pearce

Regional Export Control Officer, Commercial Section, US Embassy Singapore

Donald serves as the principal Embassy advisor on strategic trade control issues, interfacing with regional governments and industry, as well as conducting pre-license and post shipment verifications of US goods and technology. This is Donald's second overseas tour, having served previously as the Export Control Officer in Moscow, Russia from 2004 to 2007.

Donald began his career with BIS in 1997, where he served as a Special Agent with the Office of Export Enforcement - New York Field Office. He holds a Bachelor of Science degree, cum laude, from John Jay College of Criminal Justice, City University of New York, and was recently recognised by the Secretary of Commerce for investigative excellence in the PPG Industries investigation.

Dr Soh Chee Seng

Technical Adviser on Trade Finance, The Association of Banks in Singapore

Dr Soh obtained a PhD in International Law from the China University of Political Science and Law. Over the course of his impressive career, Chee Seng has accumulated extensive expertise in the areas of trade finance, letter of credit issues, and risk management. He also has an excellent knowledge in bank processes, banking law, training, and consultancy services on documentary credits. Dr. Soh is sometimes called upon as an expert witness who is asked to offer his opinions in trade finance and documentary credit lawsuits.

Evidencing his good reputation in the financial services field, Dr Soh is also technical adviser on trade finance issues of Deutsche Bank AG in Asia Pacific. He serves as the Singapore Representative in the Commission on Banking Technique and Practice of the International Chamber of Commerce (ICC) and as Associate Director of the Institute of International Banking Law and Practice, Inc., USA. Dr Soh was also a drafting member of UCP600 (Uniform Customs and Practice for Documentary Credits, ICC Publication No. 600) and ISBP for the Examination of Documents under Documentary Credits, 2002 and 2007 versions.



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Anti-Money Laundering/Fraud and Countering the Financing of Terrorism

Objective

This is a non-profit event organised as part of the commitment of ABS and the industry to combat financial crime. It aims to raise awareness on the importance of Anti-Money Laundering, Countering the Financing of Terrorism and Anti-Fraud as well as the key roles played by the various stakeholders in the public and private sectors.

Target Audience

Senior management, financial controllers and heads/senior executives from audit, compliance, legal, operations, private banking, risk management and all who wants to know more about combating financial crime, in particular AML and CFT.

Why You Should Attend

Hear international and industry experts share their knowledge and experience in combating financial crime;
Participate in lively dialogue and discussions;
Learn from real life case studies;
Network with industry experts and peers.

Attendance Fee (per person)

| | <u>Individual</u> | <u>Group</u> (5 or more from the same institution) |
|--|-------------------|--|
| ABS Member | SGD 963.00 | SGD 909.50 |
| ABS Member's Overseas Branches ^{Note 2} | SGD 450.00 | NA |
| Non ABS Member | SGD 1,016.50 | SGD 963.00 |

Notes:

- The Attendance Fee includes 7% GST (GST Registration No. M4-0003007-3), tea breaks, lunches and seminar documentation.
- The **discounted fee is applicable only to ABS members' overseas branches and billing addresses outside Singapore**. The fee should be net of all applicable taxes and remittance charges which are to be borne by the delegate(s).
- Financial Training Scheme (FTS) Eligible** - This programme is approved for listings on the FTS Programme Directory and is eligible for FTS claims subject to all eligibility criteria being met. However, in no way does this represent IBF's endorsement of the quality of the training provider and programme. Participants are advised to assess the suitability of the programme and its relevance to participants' business activities or job roles.

The FTS is available to eligible entities, at a 50% funding level of programme fees subject to all eligibility criteria being met. FTS claims may only be made for programmes listed on the FTS Programme Directory with the specified validity period. Please refer to www.ibf.org.sg/ for more information.

Registration

How to Register: Login to www.abs.org.sg/em/register.php and use the Password: **FCS2014**
Registration Deadline: Tuesday, 17 June 2014

Cancellation Policy

There will be no refunds for cancellations made after the registration deadline (17 June 2014). However, substitutions are permitted without additional costs. Please inform ABS in writing at least five working days before the Seminar.